

To : HSBC Broking Securities (Asia) Limited (“HSBC Broking Securities”)  
致 : 滙豐金融證券（亞洲）有限公司（「滙豐金融證券」）

## Change of SMS Notifications Request Form SMS通知更改表格

(Individual/Corporate Customer)  
(個人／公司客戶)

Account Number 戶口號碼	
Account Name 戶口名稱	

### A. OPT-OUT SMS NOTIFICATIONS

#### 選擇不接收 SMS 通知

- I/We (jointly and severally as applicable) **do not wish** to receive any SMS notifications from HSBC Broking Securities in respect of matters relevant to my/our account and the availability of services provided pursuant to the customer agreement governing my/our account.  
本人／本人等（共同及各別地，視情況而定）**不希望**接收滙豐金融證券就本人／本人等戶口之相關事宜並根據客戶合約可提供的服務情況下而發出的任何 SMS 通知。

**Note:** *If you currently enjoy our NetTrader service or apply for our NetTrader service in the future, please note that the above selection will not affect SMS notifications sent in relation to NetTrader activity.*

**注意：** 如閣下目前享用我們的網上經紀服務，以上之選擇並不影響接收網上經紀服務相關的 SMS 通知。

### B. RESTRICTING RECIPIENTS\* OF SMS NOTIFICATIONS

#### 限制以下指定人士\*接收 SMS 通知

- I/We (jointly and severally as applicable) **do not wish** the individual(s) set out below to receive SMS notifications from HSBC Broking Securities in respect of matters relevant to my/our account and the availability of services provided pursuant to the customer agreement governing my/our account.  
本人／本人等（共同及各別地，視情況而定）**不希望**以下人士接收滙豐金融證券就本人／本人等戶口之相關事宜並根據客戶合約可提供的服務情況下而發出的任何 SMS 通知。

Name of Account Holder/Authorised Trader 戶口持有人／授權交易人姓名

**Note:** *If you currently enjoy our NetTrader service or apply for our NetTrader service in the future, please note that the above selection will not affect SMS notifications sent in relation to NetTrader activity.*

**注意：** 如閣下目前享用我們的網上經紀服務，以上之選擇並不影響接收網上經紀服務相關的 SMS 通知。

\* *By default, SMS notifications will be sent to all account holders of individual/joint accounts, and for corporate accounts, to all authorised traders.*

一般情況下，SMS 通知會預設發送給個人／聯名戶口所有戶口持有人；公司戶口的所有授權交易人。



### C. OPT-IN SMS NOTIFICATIONS

#### 選擇接收 SMS 通知

- (i) **Only Applicable to Individual/Joint Account**  
只適用於個人／聯名戶口

I/We (jointly and severally as applicable) **wish** to receive SMS notifications from HSBC Broking Securities in respect of matters relevant to my/our account and the availability of services provided pursuant to the customer agreement governing my/our account and **authorise all the account holders** to receive such notifications.

本人／本人等（共同及各別地，視情況而定）**希望**接收滙豐金融證券就本人／本人等戶口之相關事宜並根據客戶合約可提供的服務情況下而發出的任何 SMS 通知並**授權所有戶口持有人**接收此 SMS 通知。

- (ii) **Only Applicable to Corporate Account**  
只適用於公司戶口

I/We (jointly and severally as applicable) **wish** to receive SMS notifications from HSBC Broking Securities in respect of matters relevant to my/our account and the availability of services provided pursuant to the customer agreement governing my/our account and **authorise all authorised traders** to receive such notifications.

本人／本人等（共同及各別地，視情況而定）**希望**接收滙豐金融證券就本人／本人等戶口之相關事宜並根據客戶合約可提供的服務情況下而發出的任何 SMS 通知並**授權所有授權交易人**接收此 SMS 通知。

- (iii) **Applicable to both Individual/Joint and Corporate Account**  
適用於個人／聯名及公司戶口

I/We (jointly and severally as applicable) **wish** to receive SMS notifications from HSBC Broking Securities in respect of matters relevant to my/our account and the availability of services provided pursuant to the customer agreement governing my/our account and **authorise ONLY the below account holder(s)/authorised trader** to receive such notifications.

本人／本人等（共同及各別地，視情況而定）**希望**接收滙豐金融證券就本人／本人等戶口之相關事宜並根據客戶合約可提供的服務情況下而發出的任何 SMS 通知並**授權以下戶口持有人／授權交易人**接收此 SMS 通知。

Name of Account Holder/Authorised Trader 戶口持有人／授權交易人姓名

### D. SELECTION OF SMS LANGUAGE

#### SMS 短訊語言選擇

Please choose one below.

請選擇一項。

- English 英文                       Traditional Chinese 繁體中文                       Simplified Chinese 簡體中文

## **Service Reminders**

### **服務提示**

1. The SMS Notification Service does not affect the other ways we keep you informed about your account activity. Any information provided through this service does not bind us and is for your reference only. Our records of transactions, which are set out in the contract notes and statements of accounts issued to you, prevail in the event of any inconsistency.

SMS 通知並不影響本公司現時知會您有關戶口交易的方式，透過 SMS 通知提供的任何資料僅供您的參考，對我們並沒有約束力，您應以我們印發給您的買賣成交單據及戶口結單上所示的交易記錄為準。
2. The information we provide to you by SMS may be provided to us by other persons or compiled by us based on materials provided by other persons. We do not represent or guarantee the accuracy, reliability, adequacy, timeliness, sequence or completeness of any information provided to you by SMS, or whether it is fit for any purpose. You should not rely on such information as investment advice or for trading purpose. You are solely responsible for verifying such information before using it for any purpose.

就我們以 SMS 提供予客戶的資料，可能由其他人士向我們提供或本行根據其他人士的材料編製而成。就本行以 SMS 提供予客戶的任何資料的準確度、可靠度、充足程度、及時程度、次序或完整度，或其是否適合任何用途，我們不作出陳述或保證。客戶不應依賴該等資料作投資建議或用作進行買賣。客戶在使用該等資料作任何目的之前須自行負責核實該等資料。
3. We may use another person (including any telecommunications service provider or other independent service provider) to support the SMS Notification Service. That person is not our agent or nominee and we have no cooperation, partnership, joint venture or other relationship with it. We are not liable for any action, claim, loss, damage or liability of any nature which you may suffer or incur arising from or in connection with any act or omission of that person.

我們可為支援 SMS 通知服務所需而任用任何人士（包括任何通訊服務供應商或其他獨立服務供應商），而有關人士並非我們的代理或代名人，且我們與該人士並無任何合作、合夥、合營或其他關係。我們對客戶因該人士作出或不作出任何行動而蒙受或招致的任何性質的行動、索賠、損失、損害或負債概不承擔任何責任。
4. We are not liable for any loss, damage, or expense (including international data charges incurred when receiving SMS outside Hong Kong) in connection with your receipt or use of any information provided through SMS or any failure, delay, or interruption in providing any SMS for any reason (including as a result of failure or error of any computer or electronic system or equipment), or in connection with any disclosure of confidential/personal information.

對於您因使用我們 SMS 通知提供的任何資料，或我們在提供 SMS 通知時因任何原因（包括由於任何電腦／電子系統／設備故障或錯誤）出現故障、延誤或中斷，或就任何機密／個人資料的披露而引起的任何損失、損害或支出（包括在香港境外接收 SMS 通知的國際數據收費），我們概不負責。
5. You should let us know as soon as reasonably practicable of any matter which may affect your using or our providing the SMS Notification Service. These matters include: (a) you know or suspect that any other person knows your SIM Card PIN Code, nick-name of any account or password; (b) you know or suspect that any other person has used your phone or accessed your information without authorisation; (c) your mobile phone is lost or stolen; (d) you change your mobile phone number or email address; and (e) your contract with mobile telephone service provider is terminated.

如任何事宜可能影響您使用或我們提供的 SMS 通知服務，您必須在合理可行的範圍內盡快通知我們。該等事宜包括：（a）您知道或懷疑任何人士知道您的 SIM 卡個人密碼、任何戶口的綽號或密碼；（b）您知道或懷疑任何人士未經授權使用您的手提電話或取得客戶的資料；（c）您的手提電話遺失或被竊；（d）您更改手提電話號碼或電郵地址；及（e）您與相關的電訊服務供應商的合約因任何原因被終止。
6. We may vary or terminate the SMS Notification Service as and when we deem appropriate.

我們可在認為適當之時更改或終止 SMS 通知。

**Individual/Joint Account**

個人／聯名戶口

<p>Signature of principal/sole account holder 主要／唯一戶口持有人簽署</p> <p>X</p> <hr/> <p>Name of principal/sole account holder: 主要／唯一戶口持有人姓名：</p> <p>Date: 日期：</p>
<p><i>(Applicable to Joint Account)</i> <i>(適用於聯名戶口)</i></p> <p>Signature(s) of joint account holder(s) 聯名戶口持有人簽署</p> <p>X</p> <hr/> <p>Name(s) of joint account holder(s): 聯名戶口持有人姓名：</p> <p>Date: 日期：</p>

**Corporate Account**

公司戶口

<p>Signature(s) of authorised signatory(ies) with company chop 授權簽署人簽署及公司蓋印</p> <p>X</p> <hr/> <p>Name(s) of authorised signatory(ies): 授權簽署人姓名：</p> <p>Date: 日期：</p> <p>For and on behalf of (company name): 代表（填入公司名稱）：</p>
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The English text shall prevail in the event of any inconsistency between the English and Chinese texts.  
中英文本如有任何歧義，概以英文本為準。